

# CLIENT CLASSIFICATION FORM



This form is to be used by Financial Advisers to declare that an account holder is not a retail client.

## Part 1 - Account holder details

Account holder name

Account number

Account name

## Part 2 - Client classification

Please indicate the type of account holder (select one):

### Wholesale client

meets the Product Value Test: **s761G(7)(a)** The price for the provision of or the value of the financial product to which the financial service relates, equals or exceeds the amount specified in the regulations. In the event of joint account holders, both account holders must meet this test.

with an Accountant's Certificate<sup>1</sup>: **s761G(7)(c)**

1. You will need to provide a valid certificate from a qualified accountant using our form *Accountant's Certificate for Wholesale clients* or equivalent, and if applicable, a *Certificate of 'Control' of Trust or Company*.

### Professional Investor

Australian Financial Services Licence (AFSL) holder

A listed entity or related body corporate of a listed entity

A person who controls at least \$10 million

Other, as described in s9 (please list)

### Sophisticated Investor

Sophisticated Investor: **s761GA** – the licensee is satisfied on reasonable grounds that the client has adequate experience in using financial services and investing in financial products. The licensee has provided the client a written statement of the licensee's reasons for being satisfied and the client has signed a written acknowledgment.

## Part 3 - Financial Adviser details and declaration

By signing this form, I declare that:

- The information provided in this Client Classification Form is true and correct;
- The account holder has been informed that they will not have the rights and protections that they would have as a retail client under the Corporations Act 2001;
- If applicable: I have provided a current Accountant's Certificate. When expired, I will provide an updated Accountant's Certificate upon request; and
- I agree to indemnify Praemium and its Directors, employees and associates against any liability, loss or claim arising in contract or tort in connection with the classification of the account holder as not a retail client.

Adviser signature

Date

Full name of Financial Adviser

Company/Dealer Group

**Return this completed form and any supporting documents via Jira or Adviser Portal. Alternatively, return to us by post: Powerwrap Client Services, PO Box 16071, COLLINS ST WEST VIC 8007**

This form is to be used in respect of Powerwrap Investment Account (ARSN 137 053 073) issued by Powerwrap Limited ("Responsible Entity", "Powerwrap") ABN 67 129 756 850 AFSL 329829