

WHOLESALE ADVICE FEE AMENDMENT FORM



This form is applicable for Wholesale Investors within the Powerwrap Investment Account scheme. Please ensure that a *Client Classification form* (which is used to declare on what basis a client has been classified as a Wholesale Investor) has also been completed and submitted.

All retail clients within the Powerwrap Investment Accounts must use the *Advice Fee Amendment and Consent form* for Powerwrap Investment Account

Part 1 - Portfolio details

Account name Account number

Contact phone number Email address

Part 2 - Fee amendment details

Please mark appropriate box. I/We wish to

Authorise a **one-off advice fee** of \$ (up to \$5,000 in aggregate per financial year, incl. GST)

Note: This will **not affect** any existing ongoing adviser service fee, dealer group service fee or other fees

Change/add **ongoing fee(s)** as instructed below (includes GST).

Note: This will **replace** any existing ongoing adviser service fee, dealer group service fee or research fee arrangement already in place, and must be at or below the maximum % allowable in the applicable PDS.

Cancel existing ongoing or fixed term advice fees

Note: Only one signature required, either client or adviser

| Ongoing fee type | | | | | | | | | | | | | |
|---|---|------|------|--|--|--|--|--|--|--|--|--|--|
| <p>Ongoing adviser fee</p> <p>Tick to exclude assets from the adviser fee.</p> <p>List assets below:</p> | <p>Percentage¹: % p.a. AND/OR Dollar amount: \$ p.a.</p> <p>or Tiered ongoing fee:</p> <table border="1" style="width: 100%; border-collapse: collapse; margin: 10px 0;"> <thead> <tr> <th style="width: 60%;">Tier</th> <th>Rate</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> <p style="font-size: small;">Include cash balances in the linked Cash Operating Account (if this box is not ticked, cash balances in the Cash Operating Account will be excluded in the calculation of Ongoing Advice Fees).</p> | Tier | Rate | | | | | | | | | | |
| Tier | Rate | | | | | | | | | | | | |
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| Dealer group fee | Percentage ¹ : % p.a. OR Dollar amount: \$ p.a. | | | | | | | | | | | | |
| Adviser review fee | Percentage ¹ : % p.a. OR Dollar amount: \$ p.a. | | | | | | | | | | | | |
| Contribution fee | Percentage ¹ : % p.a. OR Dollar amount: \$ p.a. | | | | | | | | | | | | |

¹ If % based fee, a reasonable \$ estimate of fees to be paid from this account over the next 12 months must be provided:

The annual total cost of fees are estimated to be \$

Part 3 - Fixed term fee

I/We wish to (select one):

- Add a fixed term fee arrangement
- Cancel an existing fixed term fee arrangement

Start month² of the fixed term fee arrangement:

End month³ of the fixed term fee arrangement:

² Advice fees will be charged for the period starting 1st of the month (or first funded date, if later).

³ Advice fees will be charged until the last day of the selected month, unless cancelled earlier. The end date cannot be greater than 12 months from the date of the fee arrangement.

| Fixed term fee type | Fee amount deducted monthly (incl. GST) | | | | | | | | | | | | |
|--|--|------|------|--|--|--|--|--|--|--|--|--|--|
| <p>Adviser service fee</p> <p>Tick to exclude assets from the adviser fee.</p> <p>Please list assets below:</p> | <p>Percentage¹: % p.a. AND/OR Dollar amount: \$ p.a.</p> <p>or Tiered ongoing fee:</p> <table border="1" style="width: 100%; border-collapse: collapse;"> <thead> <tr> <th style="width: 60%;">Tier</th> <th>Rate</th> </tr> </thead> <tbody> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> <tr><td> </td><td> </td></tr> </tbody> </table> <p>Please include cash balances in the linked Cash Operating Account (if this box is not ticked, cash balances in the Cash Operating Account will be excluded in the calculation of Ongoing Advice Fees).</p> | Tier | Rate | | | | | | | | | | |
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Note: This may replace any existing ongoing or fixed term advice fee arrangement and must be at or below the maximum % p.a. allowable in the applicable PDS.

Part 4 - Account holder declaration and signature

By signing this form, I/we:

- confirm that the fees nominated in Part 2 have been agreed between my/our financial adviser and I/us;
- direct that the amount(s) specified in Part 2 be deducted from my/our Powerwrap Investment Account and paid to the adviser's dealer group who will then pay the agreed fees to my/our financial adviser;
- acknowledge that if I/we have specified an ongoing adviser fee or ongoing dealer group fee be paid, Powerwrap will continue to pay the fee until I/we request cancellation of that fee; and
- if signing under a Power of Attorney (POA) - verify that at the time of signing, the POA is valid and has not been revoked.

Signature of account holder 1

Date

Full name

Capacity (Individual, Director, Trustee, Attorney)

Signature of account holder 2

Date

Full name

Capacity (Individual, Director, Trustee, Attorney)

Part 5 - Financial adviser details and declaration

By signing this form, I declare that:

- I am authorised to sign on behalf of my dealer group and that I am authorised to provide advice in relation to the investor/member's account under an Australian Financial Services Licence.
- the fees nominated in Part 2 have been agreed between my client the investor/member and I;
- the services for which the fees specified in Part 2 are being charged are consistent with the Statement of Advice and/or the Fee Disclosure Statement that has been provided to my client the investor/member;
- I acknowledge and agree that the amounts specified in Part 2 may cease or vary at any time if requested by the investor/member.

Signature of financial adviser

Date

Full name of financial adviser

Company/Dealer group

Financial adviser email

Contact phone number

Part 6 - Important information

- This form is to amend the advice fees on an Powerwrap Investment Account for wholesale investors (only) as follow:
 - authorise a one-off advice fee to be deducted from an account to paid to their financial adviser being the nominated representative on the account.
 - authorise a change to or add an ongoing adviser fee, dealer group service fee and/or research fee to be deducted from an account and pay regular amount to the financial adviser and/or dealer.
- A separate form is to be completed for each account to which the fee amendment(s) relate.
- Any change to ongoing advice fees will be applied for the next month that follows the acceptance of the form.
- If Part 3 signed under POA, a certified copy of the POA must be provided along with the completed form.
- If you are requesting a one-off fee to be applied or making an increase to ongoing fees, then both the account holder and the financial adviser must sign this form.
- Upon the acceptance of the form, both you and your financial adviser will receive confirmation to the registered email address of any change in fees.

Return this completed form and any supporting documents to your Financial Adviser or Nominated Adviser Representative. Alternatively, return to us by post to: Powerwrap Client Services, PO Box 16071, Collins St West VIC 8007

This form to be used in respect of Powerwrap Investment Account ARSN 137 053 073 issued by Powerwrap Limited ("Responsible Entity", "Powerwrap") ABN 67 129 756 850 AFSL 329829